(b) (6), (b) (7)(C)

INTERNET FORM NLRB-501

Address St Paul MN 55102

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

DO NOT WRITE IN THIS SPACE	
Case	Date Filed
18-CA-274377	March 18, 2021

CHARGE AGAINST EMPLOYER INSTRUCTIONS: File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT b. Tel. No. a. Name of Employer (612) 439-7627 Hero Home Services, Inc. c. Cell No. f. Fax No. e. Employer Representative d. Address (Street, city, state, and ZIP code) g. e-Mail 10900 Hampshire Ave S Suite 120 Joshua Savage josh@callhero.com CEO MN Bloomington 55438 h. Number of workers employed 144 i. Type of Establishment (factory, mine, wholesaler, etc.) j. Identify principal product or service **Construction Services** Plumbing, Heating, Electrical & Drain Cleaning k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) --See additional page--3. Full name of party filing charge (if labor organization, give full name, including local name and number) (b) (6), (b) (7)(C) Title: Minnesota Pipe Trades Association 4a. Address (Street and number, city, state, and ZIP code) 4b. Tel. No. (b) (6), (b) (7)(C) 4c Cell No 353 W 7th St Suite 106 MN St Paul 55102 4d. Fax No. 4e. e-Mail (b) (6), (b) (7)(C) 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor United Association of Plumbers, Pipefitters, Sprinklerfitters & Service Technicians Tel. No. 6. DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief. (b) (6), (b) (7)(C) (b) (6), (b) (7)(C (b) (6), (b) (7)(C) Office, if any, Cell No. Title: (signature of representative or person making charge) (Print/type name and title or office, if any) Fax No. e-Mail 353 W 7th St Suite 106 03/18/2021 11:24:42 AM

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

(date)

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

### Basis of the Charge

#### 8(a)(3)

 $Within the \ previous \ six\ months, \ the \ Employer \ refused \ to \ hire \ an \ employee(s) \ because \ the \ employee(s) \ joined \ or \ supported \ a \ labor$ 

organization and in order to discourage union activities or membership.

Name of employee refused hire	Approximate date of refusal to hire
(b) (6), (b) (7)(C)	12/20/2020
(b) (6), (b) (7)(C)	03/09/2021
(b) (6), (b) (7)(C)	03/09/2021
(b) (6), (b) (7)(C)	03/09/2021
(b) (6), (b) (7)(C)	03/08/2021

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights

protected by Section 7 of the Act by promising better working conditions if employees did not join or support a union.

Name of Employer's Agent/Representative who made the statement	Approximate date
(b) (6), (b) (7)(C)	12/20/2020
(b) (6), (b) (7)(C)	01/26/2021

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by maintaining work rules that prevent or discourage employees from engaging in protected concerted activities.

Work Rule
Required Tool List
Weekly Gross Scorecards

#### 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining

representative of its employees by making unilateral changes in terms and conditions of employment.

List Changes	Approximate date of change
Unscheduled Pay Increase	01/10/2021
Weekly Gross Margins Below 40%	11/05/2021
Work Given to Top Producers	01/04/2021



REGION 18
Federal Office Building
212 Third Avenue South, Suite 200
Minneapolis, MN 55401-2657
Agency Website: www.nlrb.gov
Telephone: (612)348-1757
Fax: (612)348-1785



Download NLRB Mobile App

March 19, 2021

Joshua Savage, CEO Hero Home Services, Inc. 10900 Hampshire Ave S Suite 120 Bloomington, MN 55438

Re: Hero Home Services, Inc.

Case 18-CA-274377

Dear Mr. Savage:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763) 270-7057. If this Board agent is not available, you may contact Officer in Charge BENJAMIN MANDELMAN whose telephone number is (414) 930-7201.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not

have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JENNIFER A. HADSALL Regional Director

Gennels Hadrall

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire



Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785



NLRB Mobile App

March 19, 2021

### (b) (6), (b) (7)(C)

REGION 18

Federal Office Building

212 Third Avenue South, Suite 200

Minneapolis, MN 55401-2657

Minnesota Pipe Trades Association 353 W 7th St Suite 106 St Paul, MN 55102

> Re: Hero Home Services, Inc. Case 18-CA-274377

### Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on March 18, 2021 has been docketed as case number 18-CA-274377. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763)270-7057. If this Board agent is not available, you may contact Officer in Charge BENJAMIN MANDELMAN whose telephone number is (414)930-7201.

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<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Jennels Hadrall

JENNIFER A. HADSALL

Regional Director

Enclosure: Copy of Charge

#### NATIONAL LABOR RELATIONS BOARD

#### NOTICE OF APPEARANCE

·	2	
Minnesota Pipe Trades		
and Hero Home Services	CASE 18-CA-27437	
Tiero Home Services		
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL  NATIONAL LABOR RELATIONS BOARD  Washington, DC 20570	
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT	IVE OF	
the Minnesota Pipe Trades		
IN THE ABOVE CARTIONER MATVERD		
IN THE ABOVE-CAPTIONED MATTER.		
CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY		
☐ IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCII AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.		
(REPRESENTATIVE INFOR	MATION)	
NAME: Sara J. Geenen		
MAILING ADDRESS: 310 W. Wisconsin Ave., Ste 100MW, Milwaukee, WI 53203		
E-MAIL ADDRESS: sjg@previant.com		
OFFICE TELEPHONE NUMBER: 414-271-4500		
CELL PHONE NUMBER: 608-358-9246	_FAX: 414-271-6308	
SIGNATURE: SAMS GENERAL (Plegse sign in ink.)		
DATE: 4/12/2021		

 $<sup>^{1}</sup>$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

#### NATIONAL LABOR RELATIONS BOARD

#### NOTICE OF APPEARANCE

SE 18-CA-27437		
GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570		
F		
. 15 and 34		
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.		
DN)		
NAME: 310 W. Wisconsin Ave., Ste 100MW, Milwaukee, WI 53203		
E-MAIL ADDRESS: sjg@previant.com		
OFFICE TELEPHONE NUMBER: 414-271-4500		
:414-271-6308		

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INTERNET FORM NLRB-501 (2-08)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE	
Case	Date Filed
18-CA-277213	May 14, 2021

#### INSTRUCTIONS:

le an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.			
a. Name of Employer b. Tel. No.			
a. Name of Employer		(612) 787-3504	
Hero Home Services, Inc.		857 95.2	
		c. Cell No.	
	F 1 B	f. Fax No.	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative		
10000 Hampshire Ava C Suite 120	Michael Mohara	g. e-Mail	
10900 Hampshire Ave S Suite 120	Michael Moberg	Michael.Moberg@jacksonlewis.com	
MN Bloomington 55438	Attorney	h. Number of workers employed	
		144	
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service		
Construction Services	Plumbing, Heating, Electrical & Drain		
2 1 1 2 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2	Surrect Flore to 1900 to 64-7-176 of the restate to 67-176 of April 1100 of the fill the fill 1900 of the fill to		
k. The above-named employer has engaged in and is engaging	in unfair labor practices within the meaning of sec	tion 8(a), subsections (1) and (list	
subsections) 1,5	of the National Labo	or Relations Act, and these unfair labor	
practices are practices affecting commerce within the meanin	g of the Act. or these unfair labor practices are un	fair practices affecting commerce	
within the meaning of the Act and the Postal Reorganization /	그렇게 하나 얼마 하나 아이는 아이를 하는 것이 하는 것이 없는 것이 없다.	F	
		- dia - al	
Basis of the Charge (set forth a clear and concise statement)	or the facts constituting the alleged unitair labor pra	actices)	
0 130 1			
See additional page			
3. Full name of party filing charge (if labor organization, give full	name, including local name and number)		
(b) (6), (b) (7)(C) Title:			
Minnesota Pipe Trades Association			
4a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No.	
		(b) (6), (b) (7)(C)	
252 W 7th Ct. Cuito 106		4c Cell No	
353 W 7th St, Suite 106 MN St. Paul 55102			
WIN St. Faul 33 102		4d. Fax No.	
		4e. e-Mail	
		(b) (6), (b) (7)(C)	
5. Full name of national or international labor organization of wh	sich it is an affiliate er constituent unit /to be filled i	56 ANTONOS TOP SON ANTONOS ANTO	
organization)	ilci i i is all allillate of constituent unit (to be filled i	in when charge is lifed by a labor	
		Tel. No.	
6. DECLARATION	and have to the best of any beautiful as and belief		
I declare that I have read the above charge and that the statements	are true to the best of my knowledge and belief.	(414) 223-0402	
Mys	Sara Geenen	Office, if any, Cell No.	
	Attorney	omes, a say, som ver	
	Print/type name and title or office, if any)	Fax No.	
anner et util etil 550 5 56	0 MS2 55 550	I GA INU.	
	-		
	ı	o_Mail	
310 W Wisconsin Ave #100MW	05/14/2021 03:32:11 PM	e-Mail	
310 W Wisconsin Ave #100MW Address Milwaukee WI 53203		e-Mail sjg@previant.com	

### WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

### **Basis of the Charge**

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights

protected by Section 7 of the Act by promising better working conditions if employees did not join or support a union.

Name of Employer's Agent/Representative who made the statement	Approximate date
Various	03/15/2021

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by maintaining work rules that prevent or discourage employees from engaging in protected concerted activities.

concerted activities.
Work Rule
Implementing score cards
Assigning work based on score cards
Encouraging/requiring employees to provide tools
Providing substantial (\$15/hr) wage increases
Provide inferior tools to employees complying wCBA
Assigning more favorable work to nonunion employee

#### 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining

representative of its employees by making unilateral changes in terms and conditions of employment.

List Changes	Approximate date of change
Implemented score cards	01/01/2021
Assignment of work based on scores/percentages	03/01/2021
Encouraging/requiring employees to provide tools	03/01/2021
Providing substantial wage increases (\$15/hr +)	03/01/2021



REGION 18 Federal Office Building 212 Third Avenue South, Suite 200 Minneapolis, MN 55401-2657

Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785 Download NLRB Mobile App

May 17, 2021

Hero Home Services, Inc. 10900 Hampshire Ave S Suite 120 Bloomington, MN 55438

Re: Hero Home Services, Inc. Case 18-CA-277213

Dear Sir or Madame:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

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Very truly yours,

JENNIFER A. HADSALL

Jennels Hadrall

Regional Director

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: Michael J. Moberg, Attorney
Jackson Lewis P.C.
150 South Fifth Street, Suite 3500
Minneapolis, MN 55402-4650



Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785



May 17, 2021

#### (b) (6), (b) (7)(C)

Minnesota Pipe Trades Association 353 W 7th St, Suite 106 St. Paul, MN 55102

**REGION 18** 

Federal Office Building

212 Third Avenue South, Suite 200

Minneapolis, MN 55401-2657

Re: Hero Home Services, Inc. Case 18-CA-277213

### Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on May 14, 2021 has been docketed as case number 18-CA-277213. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763)270-7057. If this Board agent is not available, you may contact Officer in Charge BENJAMIN MANDELMAN whose telephone number is (414)930-7201.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to

take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

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In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Lennels Hadrall

JENNIFER A. HADSALL

Regional Director

cc: Sara Geenen, Attorney

Previant Law Firm

310 W. Wisconsin Ave #100MW

Milwaukee, WI 53203

INTERNET FORM NLRB-501

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE		
Case		Date Filed
	18-CA-278527	June 14, 2021

#### INSTRUCTIONS:

ile an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.			
1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT			
a. Name of Employer		b. Tel. No. (612) 787-3504	
Hero Home Services, Inc.		250 (5.1)	
		c. Cell No.	
		f. Fax No.	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	I. Fax No.	
u. Address (offer, city, state, and 211 code)	o. Employof Hoprocontaire	g. e-Mail	
10900 Hampshire Ave S, Suite 120	Michael Moberg	michael.moberg@jacksonlewis.com	
MN Bloomington 55438	Attorney		
		h. Number of workers employed 20	
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service		
Construction Services	Plumbing, heating, electrical & drain		
k. The above-named employer has engaged in and is engaging i	n unfair labor practices within the meaning of sect	ion 8(a), subsections (1) and (list	
subsections) 1,5	of the National Labo	or Relations Act, and these unfair labor	
practices are practices affecting commerce within the meaning	of the Act, or these unfair labor practices are unf	air practices affecting commerce	
within the meaning of the Act and the Postal Reorganization A	지수는 것이 아니다 보다 하다면 하는 것이 되는 것들이 되는데 하는데 되었다면 하는데		
2. Basis of the Charge (set forth a clear and concise statement of	of the facts constituting the alleged unfair labor pra	actices)	
2. Date of the only go foot forth a deal and consists diatement	n are racio constituing are alleged armai racor pro	1011000	
See additional page			
1 - 3			
3. Full name of party filing charge (if labor organization, give full	name, including local name and number)		
(b) (6), (b) (7)(C)  Title:  Minnesota Pipe Trades Association & Plumbers Local Union No.	15.9 Dlumbors Local Union No. 24		
4a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No.	
4a. Address (Silver and number, City, State, and 211 Code)		(b) (6), (b) (7)(C)	
353 W Seventh St		4c Cell No	
MN St. Paul 55102	-	4d. Fax No.	
		4e. e-Mail	
		(b) (6), (b) (7)(C)	
5. Full name of national or international labor organization of wh	ich it is an affiliate or constituent unit (to be filled i	n when charge is filed by a labor	
organization)		220 127	
6. DECLARATION		Tel. No.	
I declare that I have read the above charge and that the statements	are true to the best of my knowledge and belief.	(414) 223-0402	
I are the	Sara J Geenen	Office, if any, Cell No.	
By Title:	5000000		
	Print/type name and title or office, if any)	Fax No.	
	THE PROPERTY OF THE PROPERTY O	e-Mail	
310 W WISCONSIN AVE, SUITE 100MW	06/14/2021 08:34:28 PM	sjg@previant.com	
Address Milwaukee WI 53203	(date)	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

### **Basis of the Charge**

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights

protected by Section 7 of the Act by promising better working conditions if employees did not join or support a union.

Name of Employer's Agent/Representative who made the statement	Approximate date
(b) (6), (b) (7)(C)	01/01/2021
(b) (6), (b) (7)(C)	12/15/2020
(b) (6), (b) (7)(C)	12/15/2020
Applicants for Employment	12/15/2020
(b) (6), (b) (7)(C)	12/20/2020
(b) (6), (b) (7)(C)	01/26/2021

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights

protected by Section 7 of the Act by interrogating employees about their union activities.

Name of Employer's Agent/Representative who made the statement	Approximate date
(b) (6), (b) (7)(C)	12/15/2020
(b) (6), (b) (7)(C)	12/15/2020
Bargaining Unit Employees	12/15/2020
Applicants for Employment	12/15/2020

#### 8(a)(5)

Within the previous six months, the Employer failed and refused to recognize the union as the collective bargaining representative of its employees.



REGION 18 Federal Office Building 212 Third Avenue South, Suite 200 Minneapolis, MN 55401-2657

Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785 Download NLRB Mobile App

June 15, 2021

Hero Home Services, Inc. 10900 Hampshire Ave S Suite 120 Bloomington, MN 55438

Re: Hero Home Services, Inc. Case 18-CA-278527

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763)270-7057. If this Board agent is not available, you may contact Officer in Charge BENJAMIN MANDELMAN whose telephone number is (414)930-7201.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not

enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JENNIFER A. HADSALL Regional Director

Jennels Hadrall

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire



REGION 18 Federal Office Building 212 Third Avenue South, Suite 200 Minneapolis, MN 55401-2657



Fax: (612)348-1785



June 15, 2021

#### (b) (6), (b) (7)(C)

Minnesota Pipe Trades Association & Plumbers Local Union No. 15 & Plumbers Local Union No. 34 353 W Seventh St St. Paul, MN 55102

Re: Hero Home Services, Inc. Case 18-CA-278527

### Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on June 14, 2021 has been docketed as case number 18-CA-278527. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

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Very truly yours,

Jennels Hadrall

JENNIFER A. HADSALL

Regional Director

cc: Sara J Geenen, Esq.

The Previant Law Firm, S.C.

310 W Wisconsin Ave, Suite 100MW

Milwaukee, WI 53203

INTERNET FORM NLRB-501 (2-08)

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD First Amended CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE

Case 18-CA-278527 Date Filed June 15, 2021

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File an original with NLRB Regional Director for the region in which the	alleged unfair labor practice occurred or is occurrin GAINST WHOM CHARGE IS BROUGHT	g.	
a. Name of Employer	IGAINST WHOM CHARGE IS BROOGHT	b. Tel. No.	
Hero Home Services, Inc.		(612) 787-3504	
		c. Cell No.	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	f. Fax No.	
	29-70 SC 45-705 SI	g. e-Mail	
10900 Hampshire Ave S, Suite 120	Michael Moberg Attorney	michael.moberg@jacksonlewis.com	
MN Bloomington 55438	Attorney	. Number of workers employed	
a.Type of Establishment (factory, mine, wholesaler,	b.Identify principal product or service	20	
etc.) Construction Services	Plumbing, heating, electrical & drain		
. The above-named employer has engaged in and is engaging i		ction 8(a), subsections (1) and (list	
subsections) g1,5		bor Relations Act, and these unfair labor	
practices are practices affecting commerce within the meaning within the meaning of the Act and the Postal Reorganization A	g of the Act, or these unfair labor practices are u		
Basis of the Charge (set forth a clear and concise statemen		oor practices)	
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See additional page			
3. Full name of party filing charge (if labor organization, give full i	name, including local name and number)	100	
(b) (6), (b) (7)(C) Title: Minnesota Pipe Trades Association & Plumbers Local Union N	lo. 15 & Plumbers Local Union No. 34		
4a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No. (b) (6), (b) (7)(C)	
353 W Seventh St		4c.Cell No.	
MN St. Paul 55102		4d. Fax No.	
		4e. e-Mail	
		(b) (6), (b) (7)(C)	
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization)			
6. DECLARATION declare that I have read the above charge and that the statements	are true to the best of my knowledge and belief.	Tel. No. (414) 223-0402	
By Lara Mer Title:	Sara J Geenen	Office, if any, Cell No.	
	(Print/type name and title or office, if any)	Fax No.	
310 W WISCONSIN AVE, SUITE 100MW Address Milwaukee WI 53203	(date)	e-Mall sig@previant.com	
		1	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

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# AMENDED / CORRECTED Basis of the Charge

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by promising better working conditions if employees did not join or support a union.

Name of Employer's Agent/Representative who made the Statement	Approximate date
(b) (6), (b) (7)(C)	Since 12/15/2020 and continuing through April 2021
(b) (6), (b) (7)(C)	Since 12/15/2020 and continuing through April 2021
Other Management Personnel/Ownership	Since 12/15/2020 and continuing through April 2021
(b) (6), (b) (7)(C)	Since 12/15/2020 and continuing through April 2021

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by interrogating employees about their union activities.

Name of Employer's Agent/Representative who made the Statement	Approximate date
(b) (6), (b) (7)(C)	Since 12/15/2020 and continuing through April 2021
(b) (6), (b) (7)(C)	Since 12/15/2020 and continuing through April 2021
Other Management Personnel/Ownership	Since 12/15/2020 and continuing through April 2021
(b) (6), (b) (7)(C)	Since 12/15/2020 and continuing through April 2021

#### 8(a)(5)

Within the previous six months, the Employer failed and refused to recognize the union as the collective bargaining representative of its employees.



Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785



June 16, 2021

HERO HOME SERVICES, INC. 10900 HAMPSHIRE AVE S SUITE 120 BLOOMINGTON, MN 55438

Federal Office Building

212 Third Avenue South, Suite 200

Minneapolis, MN 55401-2657

**REGION 18** 

Re: Hero Home Services, Inc. Case 18-CA-278527

Dear Sir or Madam:

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763)270-7057. If the agent is not available, you may contact Officer in Charge BENJAMIN MANDELMAN whose telephone number is (414)930-7201.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its

determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

JENNIFER A. HADSALL

Gennels Hadrall

Regional Director

Enclosure: Copy of first amended charge

cc: MICHAEL J. MOBERG, ATTORNEY JACKSON LEWIS P.C.

150 S 5TH ST STE 3500

MINNEAPOLIS, MN 55402-4228



Agency Website: www.nlrb.gov Telephone: (612)348-1757 Fax: (612)348-1785



Mobile App

June 16, 2021

### (b) (6), (b) (7)(C)

**REGION 18** 

Federal Office Building

212 Third Avenue South, Suite 200

Minneapolis, MN 55401-2657

MINNESOTA PIPE TRADES ASSOCIATION & PLUMBERS LOCAL UNION NO. 15 & PLUMBERS LOCAL UNION NO. 34
353 W SEVENTH ST
ST. PAUL, MN 55102

Re: Hero Home Services, Inc. Case 18-CA-278527

Dear (b) (6), (b) (7)(C)

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Attorney DAVID J. STOLZBERG whose telephone number is (763)270-7057. If the agent is not available, you may contact Officer in Charge BENJAMIN MANDELMAN whose telephone number is (414)930-7201.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures</u>: Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the

Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

JENNIFER A. HADSALL

Jennis Hadrall

Regional Director

cc: SARA J. GEENEN, ESQ.
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MILWAUKEE, WI 53203